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Attorney Docket No.: PPT-20479-US

PATENT

**IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

INTERNATIONAL APPLICATION NO. PCT/DK99/00118

INTERNATIONAL FILING DATE: March 9, 1999

PRIORITY DATE: March 9, 1998

TITLE: PHARMACOLOGICALLY ACTIVE PEPTIDE CONJUGATES HAVING A  
REDUCED TENDENCY TOWARDS ENZYMATIC HYDROLYSIS

APPLICANT(S) FOR RO/US: Bjarne Due Larsen

**EXPRESS MAIL CERTIFICATE**

Assistant Commissioner for Patents  
Box PCT  
Washington, D.C. 20231

Sir:  
Express Mail Label No. EJ445393127US  
Date of Deposit July 12, 1999

I hereby certify that the following attached paper(s) or fee

1. Transmittal Letter to the DO/EO/US
2. Preliminary Amendment
3. Check for \$807.00
4. Copy of international application
5. Declaration and Power of Attorney
6. Assignment Recordation Cover Sheet
7. Assignment
8. Verified Statement Claiming Small Entity Status

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PCT, Washington, DC 20231.

Cheryl H. Agris  
(Name of person mailing paper(s) or fee)  
Cheryl H. Agris  
(Signature of person mailing paper(s) or fee)

Mailing address:

P.O. Box 806  
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|---|--|--|--|--|--|
| FORM PTO-1190<br>(REV. 3-90)  |  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>PPT-20479-US |  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b>   |  |  |  |  |  |
| INTERNATIONAL APPLICATION NO.<br>PCT/DK99/00118   |  | INTERNATIONAL FILING DATE<br>March 9, 1999                 |  | PRIORITY DATE CLAIMED<br>March 9, 1998   |  |
| TITLE OF INVENTION<br>PHARMACOLOGICALLY ACTIVE PEPTIDE CONJUGATES HAVING A REDUCED TENDENCY TOWARDS ENZYMATIC HYDROLYSIS  |  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US<br>Bjarne Due Larsen  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |  |  |  |  |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.<br>3. <input checked="" type="checkbox"/> This express request to immediately begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).<br>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.<br>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<br>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).<br>b. <input type="checkbox"/> has been transmitted by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).<br>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).<br>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).<br>b. <input type="checkbox"/> have been transmitted by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input checked="" type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).<br>11. <input type="checkbox"/> Documents forming the basis for the examination of the present application<br>a. <input type="checkbox"/> the documents of the international application published by the International Bureau.<br>b. <input type="checkbox"/> the documents of the international application published by the International Bureau as amended under Article 19 PCT before the International Bureau.<br><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 are transmitted herewith.<br><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>c. <input type="checkbox"/> the documents of the international application published by the International Bureau as amended under Article 34 PCT in the procedure before the International Preliminary Examining Authority.<br><input type="checkbox"/> Amendments under Article 34 PCT which are the Annexes to the International Preliminary Examination Report (35 U.S.C. 371(c)(5)) are transmitted herewith.<br><input type="checkbox"/> A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).<br>Items 12. to 17. below concern other document(s) or information included:<br>12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>13. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br>14. <input checked="" type="checkbox"/> A FIRST Preliminary Amendment.<br><input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.<br>15. <input type="checkbox"/> A substitute specification.<br>16. <input type="checkbox"/> A change of power of attorney and/or address letter.<br>17. <input type="checkbox"/> Other items or information: |  |  |  |  |  |

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|---|--|---|--|--|--|
| APPLICATION NUMBER<br>to be assigned <b>09/341590</b> |  | INTERNATIONAL APPLICATION NO.<br>PCT/DK99/00118 |  | ATTORNEY'S DOCKET NUMBER<br>PPT-20479-US |  |
|---|--|---|--|--|--|

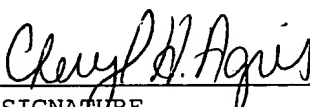
| CLAIMS | (1) FOR  | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE  | (5) CALCULATION |
|--------|--|------------------|------------------|-----------|-----------------|
|        | TOTAL CLAIMS   | 39-20=           | 11               | x\$18.00  | \$ 132.00       |
|        | INDEPENDENT CLAIMS   | 9-3=             | 6                | x\$78.00  | \$ 432.00       |
|        | MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |                  |                  | +\$260.00 | \$ 0.00         |
|        | BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)):<br><input type="checkbox"/> Search Report has been prepared by the EPO or JPO.....\$ 840<br><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482).....\$ 670<br><input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).....\$ 760<br><input checked="" type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$ 970<br><input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4).....\$ 96 |                  |                  |           |                 |
|        | Surcharge of \$130.00 for furnishing the National fee or oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.492(e)).  |                  |                  |           |                 |
|        | TOTAL OF ABOVE CALCULATIONS  |                  |                  |           | = \$ 1534.00    |
|        | Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28)  |                  |                  |           | - \$ 767.00     |
|        | SUBTOTAL   |                  |                  |           | = \$ 767.00     |
|        | Processing fee of \$130. for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 mos. from the earliest claimed priority date (37 CFR 1.492(f)).   |                  |                  |           |                 |
|        | TOTAL NATIONAL FEE   |                  |                  |           | \$ 767.00       |
|        | Fee for recording the enclosed assignment (37 CFR 1.21(h)).  |                  |                  |           | + 40.00         |
|        | TOTAL FEES CHARGED   |                  |                  |           | \$ 807.00       |

a. ☒ A check in the amount of \$ 807.00 to cover the above fees is enclosed.

19. The above checked items are being transmitted

- ☒ before the 18th month publication.
- ☐ after publication and the Article 20 communication but before 20 months from the priority date.
- ☐ after 20 months but before 22 months (surcharge and/or processing fee included).
- ☐ after 22 months (surcharge and/or processing fee included).  
 Note: Petition to revive (37 CFR 1.137(a) or (b)) is necessary if 35 U.S.C. 371 requirements submitted after 22 months and no proper demand for International Preliminary Examination was made by 19 months from the earliest claimed priority date.
- ☐ by 30 months and a proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☐ after 30 months but before 32 months and a proper demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date (surcharge and/or processing fee included).
- ☐ after 32 months (surcharge and/or processing fee included).  
 Note: Petition to revive (37 CFR 1.137(a) or (b)) is necessary if 35 U.S.C. 371 requirements submitted after 32 months and a proper demand for International Preliminary Examination was made by 19 months from the earliest claimed priority date.

SEND ALL CORRESPONDENCE TO:  
 Cheryl H. Agris, Ph.D.  
 Attorney at Law  
 P.O. Box 806  
 Pelham, N.Y. 10803  
 (914) 712-0093

  
 SIGNATURE  
 \_\_\_\_\_  
 CHERYL H. AGRIS  
 NAME  
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 34,086  
 REGISTRATION NUMBER  
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 7/12/99  
 DATE  
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